# NOV 0 8 2004 3 THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Ap	oplication of:	) Group Art Unit:	2643	
	Ronald A. KATZ	) Examiner:	S. Woo	
Serial No.:	09/317,807	) Attorney Docket No.:	6646-108N5	
Filed:	May 24, 1999	) Customer No.:	50-1636	
TEL	LTIPLE-FORMAT EPHONIC INTERFACE ITROL SYSTEM	) ) )		

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(c)

Sir:

Applicant is submitting Forms PTO-1449 (SUPPLEMENTAL XVI) citing two references, one of which is of record in Applicant's related application Serial No. 07/640,337, and the other was cited by the Examiner in related application Serial No. 09/239,053. Applicant is bringing these references to the attention of the Examiner to establish a complete record. Applicant requests the Examiner to determine independently if any of the references cited here are possibly "material" to the claims pending in this application.

Pursuant to 37 CFR 1.52(a), Applicant encloses copies of all the references cited on Forms PTO 1449 (SUPPLEMENTAL XVI).

#### **CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this document (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

November 2, 2004

Date

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Page 1 of 2

01 FC:1806

180.00 DA

11/09/2004 AADOF01 00000033 501636

Application No.:

09/317,807

Supplemental IDS dated:

November 2, 2004

Applicant requests that under 37 C.F.R. 1.97(c), the accompanying Forms PTO-1449 that list the references should be placed in this file to make it available for future access by others. This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §§ 1.97(c) and 1.98. The Examiner is hereby authorized to charge the requisite fees (mentioned in the accompanying transmittal) and any deficiencies or any additional fee required by this paper to A2D L.P.'s Deposit Account No. 50-1636.

Dated: November 2, 2004

Respectfully submitted,

By:

Reena Kuyper Reg. No. 33,830

9255 Sunset Blvd., Suite 810 Los Angeles, CA 90069 (310) 247-2860

#### FORM PTO-1449

#### **SUPPLEMENTAL XVI**

## LIST OF PATENTS A FOR APPLICANT'S INFORMATION **DISCLOSURE STATEMENT**

ATTY. DOCKET	SERIAL NO.
NO.	09/317,807
6646-108N5	
APPLICANT:	
Ronald A. KATZ	
FILING DATE:	GROUP:
May 24, 1999	2643

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER DATE NAME CI				SUB CLASS	FILING DATE
_	Re. 30,580	4/14/1981	Goldman et al.			10/28/1977
	4737983	4/12/1988	Frauenthal et al.			10/02/1985

FOREIGN PATENT DOCUMENTS								
EXAMINER						TRANSL	TRANSLATION	
INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	CLASS	YES	NO	
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 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)						

EXAMINER:		DATE CONSIDERED:			

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

WOV 0. 8 2004 Reduction Act of 1995 no pers	U.S. Pons are required to respond to a coll Application Number  Filing Date	atent and Trademar	k Office; l	PTO/SB/21 (09-04) through 07/31/2006. OMB 0651-0031 J.S. DEPARTMENT OF COMMERCE displays a valid OMB control number.
FORM	First Named Inventor	RONALD A. KATZ		
	Art Unit	2643		
(to be used for all correspondence after initial filing)	Examiner Name	S. Woo		
Total Number of Pages in This Submission 31	Attorney Docket Number	6646-108N5		
EN	CLOSURES (Check all	that apply)	<del></del>	
Document(s)	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence A  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Landscape Table on CD  marks  PTO-1449 Supplemental XVI (1	ddress	Appea of App Appea (Appea Propri Status Other below	
SIGNATURE	OF APPLICANT, ATTO	RNEY, OR AG	ENT	
Firm Name  Berry & Associates P.C.  Signature	pv			
Printed name Reena Kuyper				
Date November 2, 2004	F	leg. No. 33,83	0	
I hereby certify that this correspondence is being fac sufficient postage as first class mail in an envelope of the date shown below:		O or deposited wit		
Signature	Mr. Aller		,	
Typed or printed name Reena Kuyper			Date	November 2, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commence, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS DEPARTS. SEND TO Commence for Patents. B.O. Box 1450, Alexandria, VA 22313-1450. ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-04v2)
Approved for use through 07/31/2006. OMB 0651-0032
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Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Attorney Docket No.

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Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

(\$) 180.00 **TOTAL AMOUNT OF PAYMENT** 

Application Number	09/317,807
Filing Date	May 24, 1999
First Named Inventor	RONALD A. KATZ
Examiner Name	S. Woo
Art Unit	2643
Attorney Docket No.	6646-108N5

Complete if Known

METHOD OF PAYMENT (check all that apply)	-		FE	E CALCULATION (continued)		
Check Credit card Money Other None	3. Al	DDITI	ONAL	. FEE	S	
Order Order	<u>Large l</u>	Entity	Small	Entity	,	
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Deposit Account 50-1636	Code 1051	130	Code 2051	(\$) 65	Surcharge - late filing fee or oath	Fee Paid
Number Deposit	1052	50	2052		Surcharge - late provisional filing fee or	
Account A2D, LP	1032	30	2032	25	cover sheet	
Name The Director is authorized to: (check all that apply)	1053	130	1053		Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	•	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1.840*	Requesting publication of SIR after	
to the above-identified deposit account.		,,,,,,,		.,	Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	430	2252	215	Extension for reply within second month	
Large Entity Small Entity	1253	980	2253	490	Extension for reply within third month	
Fee Fee Fee Fee Fee Paid Code (\$) Code (\$)	1254	1,530	2254	765	Extension for reply within fourth month	
1001 790 2001 395 Utility filing fee	1255	2,080	2255	1,040	Extension for reply within fifth month	
1002 350 2002 175 Design filing fee	1401	340	2401	170	Notice of Appeal	
1003 550 2003 275 Plant filing fee	1402	340	2402	170	Filing a brief in support of an appeal	
1004 790 2004 395 Reissue filing fee	1403	300	2403	150	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	1452	110	2452		Petition to revive - unavoidable	
SUBTOTAL (1) (\$)	1453		2453	685	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501		2501		Utility issue fee (or reissue)	
Fee from Ext <u>ra Claims below Fee Paid</u>	1502	490	2502		Design issue fee	
Total Claims -20** = X =	1503	660	2503		Plant issue fee	
Independent Claims - 3** = X =	1460	130	1460		Petitions to the Commissioner	
Multiple Dependent =	1807	50	1807		Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity	1806	180	1806		Submission of Information Disclosure Stmt	
Fee Fee Fee Fee Description					Recording each patent assignment per	180.00
Code (\$)   Code (\$)   1202   18   2202   9   Claims in excess of 20	8021	40	8021	1 40	property (times number of properties)	160.00
1201 88 2201 44 Independent claims in excess of 3	1809	79 <b>0</b>	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 300 2203 150 Multiple dependent claim, if not paid	1810	790	2810	395	For each additional invention to be	
1204 88 2204 44 ** Reissue independent claims					examined (37 CFR 1.129(b))	<del></del>
over original patent	1801	790	2801		Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900	Request for expedited examination of a design application	
and over original patent	Other	fee (sp	ecify)		oi a design application	
SUBTOTAL (2) (\$)		٠.		Filina F	ee Paid SUBTOTAL (3) (%) 180 (	<u></u>
**or number previously paid, if greater; For Reissues, see above			243101	9 1	subtotal (3) (\$) 180.0	70

(Complete (if applicable)) SUBMITTED BY Registration No. Telephone 310-247-2860 33,830 Name (Print/Type) Reena Kuyper November 2, 2004 Signature

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.